

National Investor Relations Institute

MEMBERSHIP APPLICATION

NIRI Chicago NEXTGEN Chapter Package: Get NIRI membership plus membership in the NIRI Chicago Chapter—a total value of \$875 for only \$200 in your first year. Return this form to NIRI; Available only to NIRI Chicago NEXTGEN participants. For program and pricing details please see back of this page.

Individual Data				Membership Categories	
First Name	Middle Initial	Last Namo	e Suffix	□ CORPORATE MEMBER: Investor relations practitioners employed by a company listed on a public stock exchange or a company that may go public. U.S. \$625 + U.S. \$50 application fee \$200 SPECIAL OFFER	
Nickname/Name for Badge (optional)				□ COUNSELOR MEMBER: Investor relations practitioners engaged in assisted public company clients.	
Full Company N	ame			U.S. \$625 + U.S. \$50 application fee - \$200 SPECIAL OFFER	
				☐ SERVICE PROVIDER MEMBER: Individuals employed in a business	
Your Title				that provides products or services to support the investor relations	
Tour Title				function. U.S. \$625 + U.S. \$50 application fee \$200 SPECIAL OFFER	
Address				□ AFFILIATED PROFESSION MEMBER: Individuals employed in a profession related to investor relations; attorneys, investment bankers, analysts/brokers, accountants, etc.	
City		State/Province	Zip/Postal Code	U.S. \$625 + U.S. \$50 application fee \$200 SPECIAL OFFER	
Country					
Phone Fax Email			ail		
Year Started Working Year you started IR activities			R activities		
Company Web Site Ticker Symbol (if applicable)			pplicable)		
				Profile of Counseling, Service	
Standard Industrial Classification (SIC) 2-digit Code If applicable; for codes www.osha.gov/pls/imis/sic_manual.html				Provider, or Affiliate Firm	
п аррпсавів, тог	Codes www.cona.	.gov/pio/ii/iio/oio_ii/ia	riddi.ritirii	(Please check a maximum of five)	
Primary Industry	/	Secondary Industr	У	(Flease check a maximum of five)	
				□ Advertising/branding/marketing	
STOCK MARKET/EXCHANGE (IF APPLICABLE):				☐ Annual reports	
□ NASDAQ OMX □ NYSE AMEX				 □ Conferencing □ Consulting for public companies 	
□ Non-U.S./OTC/Pink Sheets (please specify):				□ Corporate communications	
_				□ Executive recruitment	
Type of Organization				☐ IPO consulting	
				☐ Meetings	
☐ Public Company				☐ News release	
☐ Private Company that may go public				☐ Proxy solicitation, contests	
☐ IR consulting				□ Research	
Service Provider or Vendor				☐ Shareholder analysis, targeting	
Law firm				☐ Stock transfer agents	
□ Venture Capital firm/investment bank/hedge fund				☐ Web site development	
☐ University/Academic Institution				☐ Writing, presentation development	
□ Other:				□ Other:	

Code of Ethics

As a member of the National Investor Relations Institute, I will:

CORPORATE/COUNSELOR MEMBERS:

- Maintain my integrity and credibility by practicing investor relations in accordance with the highest legal and ethical standards.
- Avoid even the appearance of professional impropriety in the conduct of my investor relations responsibilities.
- Recognize that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information, and will to the best of my ability and knowledge work to ensure that my company or client fully and fairly discloses this important information.
- 4. Provide analysts, institutional and individual investors and the media fair access to corporate information.
- Honor my obligation to serve the interest of shareholders and other stakeholders.
- Discharge my responsibilities completely and competently by keeping myself abreast of the affairs of my company or client as well as the laws and regulations affecting the practice of investor relations.
- Maintain the confidentiality of information acquired in the course of my work for my company or client company.
- 8. Not use confidential information acquired in the course of my work for my personal advantage nor for the advantage of related parties.
- Exercise independent professional judgment in the conduct of my duties and responsibilities on behalf of my company or client.
- Avoid any professional/business relationships that might affect, or be perceived to potentially affect, my ethical practice of investor relations.
- 11. Report to appropriate company authorities if I suspect or recognize fraudulent or illegal acts within the company.
- Represent myself in a reputable and dignified manner that reflects the professional stature of investor relations.

SERVICE PROVIDER/AFFILIATED PROFESSION/ACADEMIC MEMBERS:

- Maintain my integrity and credibility in accordance with the highest legal and ethical standards.
- Keep myself abreast of the laws and regulations affecting the practice of investor relations to the extent necessary to fulfill my duties.
- During the course of my work, support, study, research, reporting, and/ or practice of investor relations:
 - Maintain the confidentiality of information acquired.
 - Not use confidential information acquired for personal advantage nor for the advantage of other parties.
 - Exercise independent judgment and avoid conflicts of interest.
- 4. Support and promote maintaining the highest level of ethical standards for investor relations professionals.
- Report to appropriate authorities if I strongly suspect or recognize fraudulent or illegal acts are being conducted.
- 6. Represent myself in a reputable and dignified manner that reflects on the professional stature of investor relations.
- Honor my obligation to serve the interest of shareholders and other stakeholders by recognizing that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information.
- 8. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, the ethical practice of investor relations or its professional stature.

Please sign here Date Payment **SELECT PAYMENT METHOD** National Dues: (\$675 Value) \$200 For security and compliance purposes, NIRI will no longer accept credit **CHAPTER AFFILIATIONS:** card payments via email, phone or mailed correspondence. Please login at www.niri.org, go to store, and click on my account to process payment by NIRI Chicago Chapter (\$200 Value) Dues Amount: \$0 credit card. **Total National and Chapter Dues:** \$200 □ Check □ ACH./Wire All new memberships are for a one-year term starting from enrollment date. All Mail check to: 225 Reinekers Lane, Alexandria, VA 22314

Have you ever been cited for violating U.S. Securities and Exchange Commission regulations, or convicted of a felony? ☐ Yes ☐ No

All new memberships are for a one-year term starting from enrollment date. All Chicago Chapter members must also join NIRI National. Offer is only for new members who have 1) never been a NIRI National or Chicago member or 2) whose membership lapsed at least one year before the current application. NIRI Chicago NextGen is designed for Investor Relations professionals who are newer in their careers and also have <5 years of IR experience. NIRI Chicago NextGen qualification and acceptance is at the discretion of NIRI Chicago Chapter leadership. For NIRI Chicago NextGen, NIRI National will offer "step up" rates for national membership from \$200 in year one to \$300 in year two, \$400 in year three, and full prevailing fee in year four. Chicago chapter membership will be \$0 in year one, \$100 in year two, \$200 in year three. Full prevailing fee will be due in year four.

NIRI membership is in the name of the individual, not the employer organization. Membership transfer from one individual to another may be made with permission of the original member and a \$50 transfer fee. Membership is not valid without payment of dues

ACH/Wire Instructions: Acct: 2066700461169 ABA: 121000248

Swift Code: WFBIUS6S Bank Name: Wells Fargo

NIRI Tax ID #: 54-1758790

FOR NIRI USE Paid Date NIRI C1 C2 C3 Total Approved NIRI VP Date