

Information regarding data protection for Shareholders and Shareholder Representatives of Pfeiffer Vacuum Technology AG

The protection of your personal data is very important to us. We would therefore like to inform you about the processing of your personal data by us and about your data protection rights

Who is responsible for data processing?

Data controller pursuant to Article 4 para. 7 of the General Data Protection Regulation (**GDPR**) is:

Pfeiffer Vacuum Technology AG
Berliner Straße 43
35614 Aßlar
Germany
Tel: +49 6441/802-0
E-Mail: info@pfeiffer-vacuum.de

You can contact our data protection officer as follows:

gds – Gesellschaft für Datenschutz Mittelhessen mbH

Henning Welz (Prokurist)
Tel: +49 6421 / 870413-10
E-Mail: welz@gdsm.de

For what purposes are personal data processed and on what legal basis is data processing based?

We process your personal data (Article 4 para. 2 GDPR) on the basis of Article 6 para. 1 sentence 1 lit. c) GDPR, insofar as this is necessary for the fulfilment of legal obligations to which we are subject. This includes the fulfilment of obligations under stock corporation law, commercial law and tax law as well as regulatory requirements. Accordingly, the purposes of processing include in particular the preparation and conduct of the Annual General Meeting and the observance of obligations to provide evidence and to retain records in accordance with the German Stock Corporation Act (**AktG**), the German Commercial Code (**HGB**) and the German Tax Code (**AO**).

In addition, we process your personal data in accordance with Article 6 para. 1 sentence 1 lit. f) GDPR. This is permissible insofar as the processing is necessary to safeguard our legitimate interests or those of a third party, provided that your interests or fundamental rights and freedoms which require the protection of personal data do not prevail. Such a legitimate interest exists in the preparation of statistics, e.g. for the analysis of trends.

Where do we get your personal data from? Which categories of personal data do we process? Is there an obligation to provide personal data?

We process the personal data that we receive from you, from the custodian bank (usually forwarded via Clearstream Banking AG as the central administrator for the banks) and - in the case of a proxy - from the represented or legally represented shareholder. This includes your personal data (name, address and other contact data), administrative data (e.g. data on share ownership, instructions, ticket number) and data on attendance behaviour (e.g. attendance at the Annual General Meeting, submission of countermotions).

If you exercise your shareholder rights (e.g. participation in the Annual General Meeting), you are legally obliged to disclose your personal data.

To which categories of recipients will your personal data be transmitted?

In order to fulfil the above-mentioned purposes (see the section "For what purposes are personal data processed and on what legal basis is data processing based?"), we make use of external service providers domiciled in the European Economic Area (EEA) for the General Meeting. In addition to Commerzbank AG, Frankfurt am Main, which is entrusted with the technical handling of the Annual General Meeting, this includes in particular service providers from the areas of legal advice, printing and shipping.

If you attend the Annual General Meeting, other shareholders can view your personal data entered in the list of participants. Furthermore, according to the AktG, we may be legally obligated to publish your name on our homepage if you exercise certain shareholder rights (e.g. requesting an addition to the agenda).

In addition, we may be obligated to transfer personal data to authorities, in particular the Federal Financial Supervisory Authority (BaFin), e.g. due to statutory notification obligations when legally prescribed voting rights thresholds are exceeded. Insofar as this is necessary to assert, exercise or defend legal claims, we transmit personal data to courts, arbitration tribunals or legal advisors.

How long is your personal data stored?

As a rule, personal data are deleted as soon as they are no longer required for the aforementioned purposes and statutory evidence and retention obligations do not require us to store them any longer. Corresponding evidence and/or retention obligations result in part from the AktG, the HGB and the AO. For the data collected in connection with annual general meetings, the retention period is regularly up to three years. Further evidence and/or retention obligations result from the HGB and the AO, pursuant to which the evidence and/or retention period can amount to up to ten years. Beyond that, we only store your personal data if this is necessary in connection with claims asserted against us.

What data protection rights do you have?

Pursuant to Article 15 GDPR, you have the right to obtain information about the data stored about you. If incorrect personal data have been processed, you have a right to correction pursuant to Article 16 GDPR. If the legal requirements are met, you can request the deletion or restriction of the processing and lodge an objection against the data processing (Article 17, 18 and 21 GDPR). According to Article 20 GDPR, you can assert the right to data transfer for data that is processed automatically on the basis of your consent or a contract with you.

You have the right, for reasons related to your particular situation, to object at any time to the processing of personal data concerning you carried out pursuant to Article 6 para. 1 sentence 1 lit. f) GDPR (data processing on the basis of a balancing of interests).

If you file an objection, we will no longer process your personal data unless we can prove compelling reasons for the processing which outweigh your interests, rights and freedoms, or the processing serves the assertion, exercise or defence of legal claims.

The objection can be lodged in any form and should be directed to the above-mentioned contact details (see "Who is responsible for data processing?").

You can exercise these rights by contacting us at the above contact details (see "Who is responsible for data processing?").

If you are of the opinion that any processing of data violates data protection law, you have the right to complain to a data protection supervisory authority of your choice (Article 77 GDPR in connection with section 19 Federal Data Protection Act (**BDSG**)). This also includes the data protection supervisory authority responsible for us, which you can reach under the following contact data:

Der Hessische Beauftragte für Datenschutz und Informationsfreiheit
Postfach 3163
65021 Wiesbaden
Germany
Tel.: +49 611 1408 - 0
Fax: +49 611 1408 - 900
E-Mail: poststelle@datenschutz.hessen.de