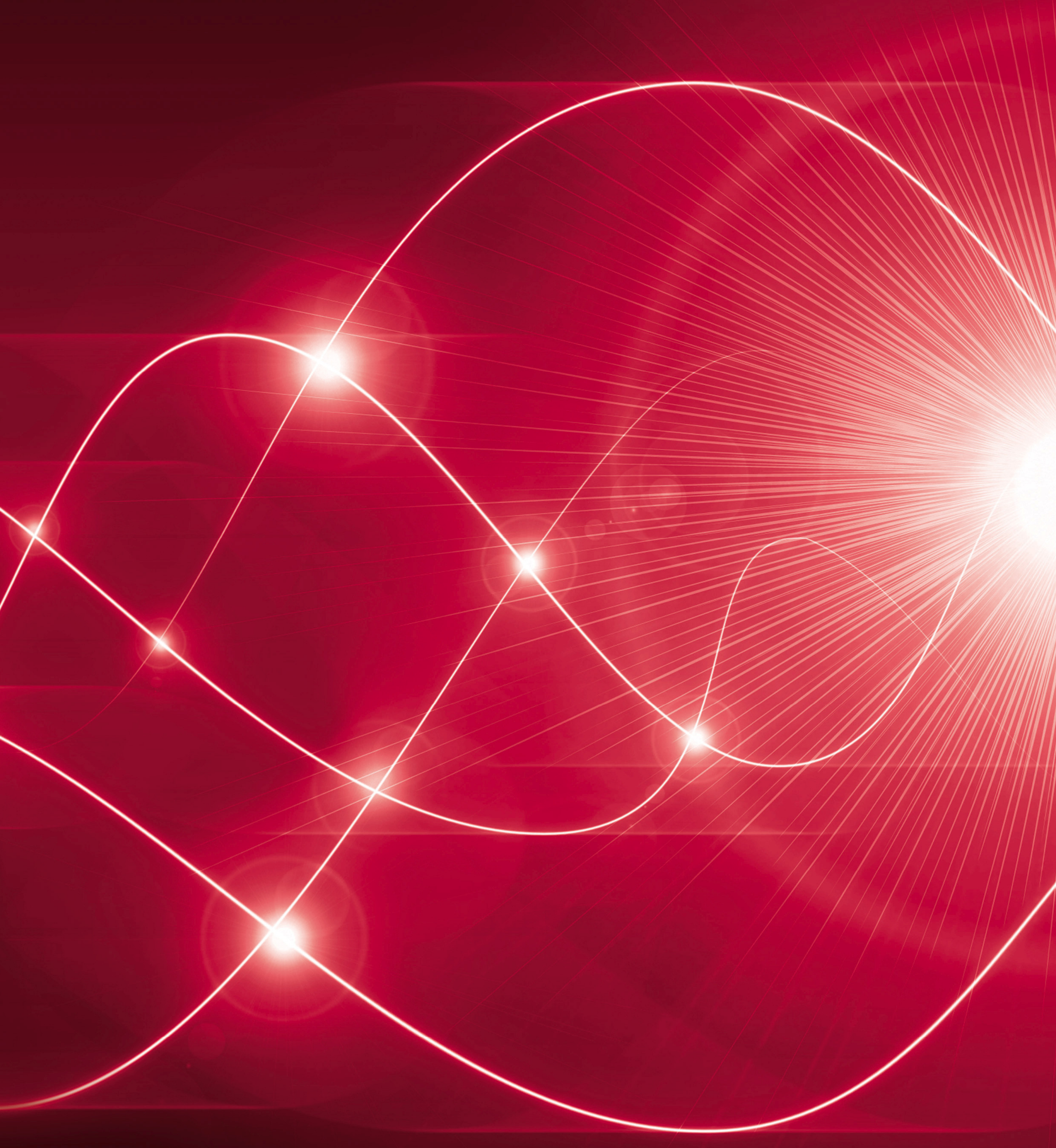
A PASSION FOR PERFECTION

PFEIFFER VACUUM



Combined Expertise

QUARTERLY FINANCIAL REPORT THIRD QUARTER 2013

Quarterly Financial Report / Third Quarter 2013

Contents

Key Figures

		Q3 2013	Q3 2012	Change	Q1-Q3 2013	Q1-Q3 2012	Change
Sales and profit							
Total sales	K€	99,876	112,037	-10.9%	299,829	354,339	-15.4%
Germany	K€	21,202	27,465	-22.8%	59,582	69,789	-14.6%
Other countries	K€	78,674	84,572	-7.0%	240,247	284,550	-15.6%
Operating profit	K€	14,903	17,111	-12.9%	36,211	53,639	-32.5%
EBIT margin	%	14.9	15.3	-0.4Pp	12.1	15.1	-3.0Pp
Net income	K€	9,986	11,495	-13.1%	24,415	35,777	-31.8%
Return on sales	%	10.0	10.3	-0.3Pp	8.1	10.1	-2.0Pp
Operating cash flow	K€	10,931	9,014	21.3%	27,968	42,042	-33.5%
Capital expenditures	K€	2,695	3,063	-12.0%	6,232	6,427	-3.0%
Earnings per share	€	1.01	1.16	-12.9%	2.47	3.60	-31.4%
Workforce							
Workforce (average)		2,178	2,280	-4.5%	2,201	2,276	-3.3%
Germany		791	822	-3.8%	804	803	0.1%
Other countries		1,387	1,458	-4.9%	1,397	1,473	-5.2%
Sales per employee	K€	46	49	-6.1%	136	156	-12.8%

		Sept. 30, 2013	December 31, 2012	Change
Balance sheet				
Balance sheet total	K€	424,171	451,346	-6.0%
Cash and cash equivalents	K€	80,300	102,006	-21.3%
Number of shares issued		9,867,659	9,867,659	-
Shareholders' equity	K€	265,576	276,983	-4.1%
Equity ratio	%	62.6	61.4	1.2Pp

Due to the application of IAS 19 "Employee Benefits" some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

This quarterly financial report has been prepared in accordance with International Financial Reporting Standards (IFRS). Throughout this report, all percentages are calculated based on amounts in thousands €.

The Quarterly Financial Report as of September 30, 2013, is unaudited.

The Company

Pfeiffer Vacuum – a name that stands for innovative solutions, high technology and dependable products, along with first class service. For more than 120 years, we have been setting standards in vacuum technology with these attributes. One very special milestone was the invention of the turbopump at our Company more than 50 years ago. Thanks to our know-how, we continue to be the technology and world market leader in this field. To no small degree, this also manifests itself in our strong profitability.

Our extensive line of solutions, products and services ranges from vacuum pumps, measurement and analysis equipment right through to complex vacuum systems. And quality always plays a key role in this connection: Products from Pfeiffer Vacuum are being constantly optimized through close collaboration with customers from a wide variety of industries, through ongoing development work and through the enormous enthusiasm and commitment of our people. These are virtues that we will continue to embrace!

Pfeiffer Vacuum

Headquarters	Asslar
Established	1890
Purpose of the Company	To develop, manufacture and market components and systems for vacuum generation, measurement and analysis
Manufacturing sites	Asslar, Germany; Göttingen, Germany; Annecy, France; Asan, Republic of Korea
Workforce (September 30, 2013)	2,178
Sales and service	20 subsidiaries and a multitude of agencies worldwide
Quality management	Certified under ISO 9001
Environmental management	Certified under ISO 14001
Stock exchange listing	Deutsche Börse, Prime Standard/TecDAX
Accounting	IFRS

For more information please visit www.pfeiffer-vacuum.com.

Share Performance

Pfeiffer Vacuum shares have been traded on the Deutsche Börse Stock Exchange in Frankfurt since April 15, 1998. Pfeiffer Vacuum satisfies the high transparency requirements of the Prime Standard and has been included without interruption in the TecDAX, the index of the 30 most important technology issues traded on the stock exchange in Frankfurt, since its inception.

Basic information about Pfeiffer Vacuum shares

Deutsche Börse Symbol	PFV
ISIN	DE0006916604
Bloomberg Symbol	PFV.GY
Reuters Symbol	PV.DE
Number of shares issued	9,867,659
Freefloat as at September 30, 2013	100 %
Market capitalization as at September 30, 2013	€ 893.0 million

On January 2, 2013, Pfeiffer Vacuum shares opened at € 92.79, while the closing price on September 30, 2013 was € 90.50. This represented a slight decrease by 2.5 %. In an overall very positive stock market environment the TecDAX significantly increased, starting at 843 points on January 2, 2013 and closing at 1,084 points on September 30, 2013. Thus, Pfeiffer Vacuum shares developed significantly weaker than the stock market in total.

As a still strong dividend issuer in the TecDAX, Pfeiffer Vacuum distributed repeatedly an above average high dividend to its shareholders in 2013. At the Annual Shareholders' Meeting on May 28, 2013, a vast majority of shareholders followed the common proposal of Management and Supervisory Board and resolved a dividend of € 3.45 per share for the fiscal year 2012. Thus, the payout ratio of around 75 % of the consolidated net income was kept at the same level. A total of € 34.0 million was paid out to the shareholders.

Unchanged compared to December 31, 2012, the free-float is 100 %. This free-float is also the basis for the calculation of market capitalization as at September 30, 2013.

Interim Management Report

Sales in Q3 2013 were stable compared to the first two quarters of the current fiscal year. Totaling € 99.9 million sales were in the Q1 and Q2 level. The expected positive development for the second half of 2013 can thus not yet be noticed in sales. However, development of new orders showed an improvement. This will lead to increasing sales in remaining 2013 and beyond. Earnings development has to be pointed out positively. Gross profit continuously increased in 2013 – despite constant sales revenues. This led to increase in gross margin, the ratio between gross profit and sales, which stood at 38.3 % in Q3 (Q1: 35.6 %; Q2: 36.7 %). Operating profit in Q3 developed satisfactory, too. With € 14.9 million and a corresponding EBIT margin, the ratio between operating profit and sales, of 14.9 % in view of the low sales volume we can look optimistically into the future. In Q3 2012 the EBIT margin was 15.3 % - based on sales of € 112.0 million. The negative economies of scale connected with the sales decline of 10.9 % were thus almost compensated. Financial results totaled € -0.6 million in the first three quarters of 2013 and therefore saw an improvement compared to the prior year period (€ -1.4 million). With regard to the tax ratio there were no material changes. In total, net income was below the prior year level. After € 35.8 million a total of € 24.4 million was currently recorded. This led to earnings per share of € 2.47 (2012: € 3.60). Net income for Q3 totaled € 10.0 million after € 11.5 million in the previous year.

It should be noted with respect to the fiscal 2012 numbers and the ratios based hereon that these were adjusted in connection with the retroactive application of IAS 19 “Employee Benefits”. Beginning January 1, 2013, revised version of IAS 19 is mandatorily applicable and requires, amongst others, the recognition of actuarial gains and losses directly in equity. The corridor approach as applied in Pfeiffer Vacuum Group until then was abolished. The equity burden as of January 1, 2012, was € 5.9 million and increased to € 16.4 million as of December 31, 2012.

Overall Economic Environment and Industry Situation

The economy in Germany developed comparatively positive. Experts saw an improvement particularly in the third quarter development. This was also noticeable in other countries of the eurozone, which is considered as first sign for overcoming the recession. The public debt crisis in the US had led to a government shutdown in the beginning of October. The further development remains to be seen, because even after the abolition of the shutdown the crisis is not yet overcome but at best adjourned. The expected more positive situation in the semiconductor industry did not yet lead to higher sales revenues. However, order intake particularly in the third quarter and current negotiations with our customers indicate that sales volume will increase in the remaining year 2013.

Business

Our business operations include the development, manufacture, sale and service of vacuum pumps, vacuum measurement, components and analysis equipment and instruments, as well as vacuum systems.

Sales

Presented below are net sales by segment, by region, by product and by market for the periods ended September 30, 2013 and 2012.

Sales by Segment (Companies)

Pfeiffer Vacuum's subsidiaries in the individual countries are independent legal entities with their own management which distribute the products and provide services. Accordingly, we identify our operating segments geographically. Due to the similarity of their economic characteristics, including nature of products sold, type of customers, methods of product distribution and economic environment, the Company basically aggregates its European and Asian subsidiaries into one reporting segment, "Europe (without Germany, France)" and "Asia (without Republic of Korea)". In contrast, the companies in France and the Republic of Korea were each presented separately as an individual segment. This was caused by the different functions of the French entities, including research and development as well as production, and the production function of the Korean entities, respectively.

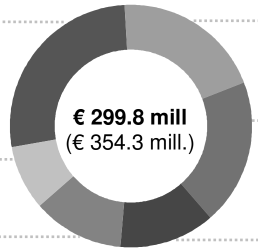
Sales by Segment

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012	**2013**	2012
	in K€	in K€	in K€	in K€
Germany	28,044	29,388	80,595	85,187
Europe (without Germany, France)	21,520	17,492	59,976	51,441
USA	18,283	22,108	58,829	72,544
Republic of Korea	12,942	14,934	37,940	72,265
Asia (without Republic of Korea)	11,898	10,615	36,416	32,338
France	7,189	17,500	26,073	40,564
Total	**99,876**	112,037	**299,829**	354,339

In analyzing the sales by segment the most significant change can be noticed in the Republic of Korea segment. Here, sales decreased from € 72.3 million to € 37.9 million, mainly due to the weak demand from the semiconductor industry. However, it has to be taken into account that the first half year 2012 has been very good in the Republic of Korea. Accordingly the sales decrease with regard to Q3 only was € 2.0 million. The situation in the semiconductor market also impacted the sales development in the USA segment and contributed mainly to the sales decline. Sales development in Europe (without Germany, France) and in Asia (without the Republic of Korea) showing increases by 16.6% and 12.6 %, respectively, has to be positively pointed out. Exchange rate effects in the first nine months of 2013 burdened sales development by approximately € 3.0 million.

The following graphic shows the still balanced split of consolidated sales by segments.

Sales by Segment 9M/2013 (9M/2012)



Sales by Region

To provide additional information, we are also presenting sales by region in the following table. It includes all sales in a given region, regardless of which entity in the Pfeiffer Vacuum Group actually generated these sales. 8

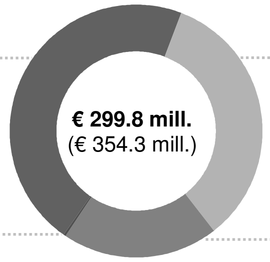
Sales by Region

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012	**2013**	2012
	in K€	in K€	in K€	in K€
Europe	47,631	52,450	138,020	144,416
Asia	33,181	36,337	100,938	134,480
The Americas	18,719	23,006	60,201	74,618
Rest of world	345	244	670	825
Total	**99,876**	112,037	299,829	354,339

The table above shows that sales decreased in all relevant regions. However, development in Asia with a decrease by € 33.5 million and in the Americas (decline by € 14.4 million) was particularly obvious while sales in Europe with a decrease of € 6.4 million were comparatively stable.

The following graphic shows the still balanced split of sales by region with a slight increase in the European region.

Sales by Region 9M/2013 (9M/2012)



Sales by Products

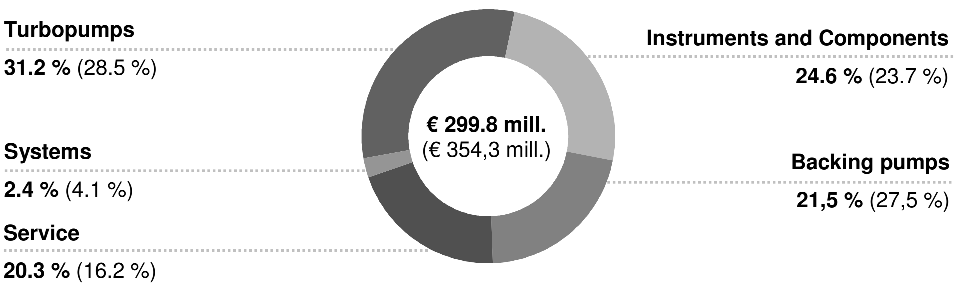
Sales by Products

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012	**2013**	2012
	in K€	in K€	in K€	in K€
Turbopumps	31,599	32,687	93,462	101,136
Instruments and components	25,027	24,843	73,820	83,859
Backing pumps	20,566	26,345	64,478	97,408
Service	19,945	19,356	60,849	57,248
Systems	2,739	8,806	7,220	14,688
Total	**99,876**	112,037	299,829	354,339

With an increase by 6.3 % to € 60.8 million, service sales developed very satisfactory. This was mainly due to our customers' still noticeable approach to maintain instead of replacing equipment in the current economical environment. The strongest sales decline could be seen in backing pumps (€ -32.9 million), resulting predominantly from the weak demand in the semiconductor industry. With regard to the development within the year 2013 so far there were no material changes. Totaling € 99.9 million in the third quarter 2013, sales were virtually flat compared to the first two quarters of 2013. The allocation to product groups, too, was largely stable.

The relative split of sales by products was still well balanced with no single product being overweight.

Sales by Product 9M/2013 (9M/2012)



Sales by Market

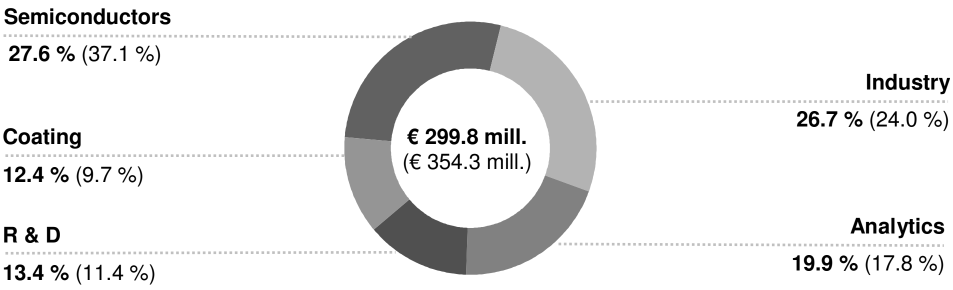
Sales by market

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012	**2013**	2012
	in K€	in K€	in K€	in K€
Semiconductors	28,794	37,078	82,694	131,520
Industry	23,971	29,753	79,952	85,066
Analytics	21,761	20,934	59,767	63,013
R & D	13,079	13,856	40,215	40,313
Coating	12,271	10,416	37,201	34,427
Total	**99,876**	112,037	299,829	354,339

For the semiconductor industry the third quarter again showed an increase compared to the two preceding quarters. With € 28.8 million in Q3 sales in this market segment were € 0.9 million above the Q2 level but at the same time € 8.3 million below the amount in the comparable prior year period. Nevertheless, the trend in 2013 shows the expected improvement in demand from the semiconductor industry in the second half of the current fiscal year. The analytics segment in Q3 saw an increase compared to both, the prior year quarter and the second quarter 2013. The heterogeneous industry segment recorded a decrease compared to the preceding quarter and the comparable prior year quarter.

The sales split by markets was as follows:

Sales by Markets 9M/2013 (9M/2012)



Order Intake and Order Backlog

Following an order intake of € 348.2 million in the first nine months of 2012 this number was € 309.5 million in the first three quarters of 2013. This represents a decrease by € 38.7 million which was mainly caused by the development in the semiconductor market. In the third quarter order intake of € 103.9 million saw a somewhat stronger trend compared to the immediately preceding second quarter (€ 101.5 million) and thus reflected the expected improvement in demand from the semi market. The book to bill ratio, the ratio between order intake and sales, was 1.03 for the year 2013 (2012: 0.98), meaning that order intake was higher than sales. In Q3 2013, the book to bill ratio was 1.04 (Q3/2012: 0.90).

Order backlog increased from € 71.8 million as at December 31, 2012 to € 81.5 million as at September 30, 2013. Resulting from the book to bill ratio above 1 this order backlog was also higher compared to June 30, 2013 (€ 77.4 million).

Orders are only recorded in order backlog when they are based upon binding contracts. The value of order backlog should not be used to predict future sales and order volumes.

Cost of Sales, Gross Profit and Gross Margin

In the first nine months of 2013 cost of sales totaled € 189.3 million and thus were significantly below the amount of € 225.3 million in the comparable prior year period. The main reason for this development was the decrease in sales. Accounting for € 110.5 million, gross profit was € 18.6 million below previous year's number (€ 129.1 million). In contrast, gross margin increased and now stood – after 36.4 % in the first nine months of 2012 – at 36.9 %. Even more positively the gross margin developed in the third quarter of the current fiscal year with 38.3 % compared to 37.5 % in Q 3 2012.

Selling and Marketing Expenses

With € 38.3 million, selling and marketing expenses of the first nine months of the current fiscal year were virtually flat compared to the number in the previous fiscal year (€ 38.2 million). However, accounting for 12.8 % of total sales, selling and marketing expenses increased (2012: 10.8 %).

General and Administrative Expenses

General and administrative expenses decreased by € 1.7 million, or 6.9 %, from € 23.9 million in the first three quarters of 2012 to € 22.2 million in the current fiscal year. However, relative to sales, this ratio increased from 6.7 % to 7.4 %.

Research and Development Expenses

Research and development expenses totaled € 16.6 million in the first nine months of 2013 and were thus almost flat compared to the first three quarters of 2012 (€ 16.9 million). However, R&D ratio, the ratio between R&D expenses and sales, increased from 4.8 % to 5.6 %.

We will maintain the expenses allocated for research and development at a high level and continue to invest in order to be able to sustain our position in the world market, to expand market shares and to open up new markets. All expenditures for research and development are expensed as they are incurred.

Other Operating Income/Other Operating Expenses

Balance of other operating income and expenses totaled € +2.9 million in the first three quarters of 2013 after a net gain of € 3.5 million was recorded in the prior year period. The amounts in 2013 included predominantly expense subsidies affecting net income of € 3.1 million (2012: € 2.8 million) and net foreign exchange losses of € 0.2 million (2012: net foreign exchange gains of € 0.7 million).

Operating Profit

Mainly due to the lower sales volume operating profit was € 36.2 million in the first three quarters 2013, representing a decrease by € 17.4 million, or 32.5 %, compared to the first three quarters 2012 (€ 53.6 million). Negative economies of scale resulting from the sales decline by € 54.5 million could thus not be fully compensated. This resulted in a negative impact on EBIT margin, the ratio between operating profit and sales. Following 15.1 % in the first nine months of 2012 a margin of 12.1 % was recorded for the current fiscal year. However, in the third quarter 2013 the EBIT margin was 14.9 %. This means that – compared to Q3 2012 at 15.3 % – there has been only a slight decrease in the margin, despite the lower sales volume.

Financial Results

With € -0.6 million the net financial result in 2013 was significantly improved compared to the previous year's level (€ -1.4 million). This was caused by the redemptions of financial liabilities in the first half of 2012 which now fully led to a decrease in interest expenses.

Income Taxes

With 31.5 % in the first nine months of 2013 the tax rate was on the prior year's level. There were also no major changes in the third quarter.

Net income / Earnings per share

Totaling € 24.4 million net income for the first three quarters of 2013 was down by € 11.4 million from the prior year results of € 35.8 million. Return on sales (after taxes) stood – after 10.1 % in 2012 – at 8.1 % in 2013. Earnings per share developed parallel to the net income. After € 3.60 in the first nine months of 2012 an amount of € 2.47 was recorded for the current fiscal year. This represents a decrease by € 1.13.

Financial Position

Pfeiffer Vacuum's balance sheet total decreased by € 27.1 million, or 6.0 %, from € 451.3 million as at December 31, 2012, to € 424.4 million, as at September 30, 2013. On the assets side of the balance sheet, this was predominantly attributable to the decrease in cash and cash equivalents by € 21.7 million. This was mainly due to the dividend payment to the Pfeiffer Vacuum Technology AG shareholders following the Annual Shareholders' Meeting in May 2013 (€ 34.0 million). For further details with regard to the development of cash and cash equivalents please refer to the following section "Cash Flow". Other material changes related to tangible and intangible assets (decline by € 9.3 million in total, resulting mainly from scheduled depreciation and amortization) and trade accounts receivable (decline by € 2.2 million).

As at September 30, 2013, shareholders' equity totaled € 265.6 million. This represents a decrease of € 11.4 million from the level on December 31, 2012 (€ 277.0 million). This development was mainly due to the net income recorded for the first three quarters of 2013 (€ 24.4 million) and contrary to the dividend payment of € 34.0 million. The equity ratio was 62.6 % after 61.4 % at the end of fiscal 2012 and accordingly is still above average. Up to the end of Q3 2013 short and long-term financial liabilities were reduced by € 9.5 million in total. Other material line items relate to provisions and trade accounts payable (€ 27.4 million, and € 21.3 million, respectively).

Cash Flow

Primarily due to the decreasing net income operating cash flow also decreased from € 42.0 million in the first nine months of 2012 to € 28.0 million in 2013. Additionally, the development of receivables and other assets burdened cash flow with € 5.3 million (2012: positive impact of € 0.9 million).

As in the prior year period capital expenditures were the major determinant for the cash flow from investing activities in 2013 (€ 6.0 million, and € 6.2 million in 2012, respectively).

Net cash used in financing activities in the current fiscal year were € 43.6 million and consisted of the dividend payment to the Pfeiffer Vacuum Technology AG shareholders of € 34.0 million and the redemption of financial liabilities totaling € 9.6 million. In the prior year period the dividend payment caused a cash outflow of € 31.1 million while redemptions of financial liabilities stood at € 21.6 million. Thus, the net cash used in financing activities was € 52.7 million in 2012.

Considering exchange rate impacts, total cash outflow thus amounted to € 21.7 million (2012: € 19.1 million) and resulted in a decrease in cash and cash equivalents by 21.3 % to € 80.3 million.

Workforce

As of September 30, 2013, the company employed a workforce of 2,178 people, 791 of them in Germany and 1,387 in other countries.

Workforce

	Germany		Other countries		Total	
			September 30,			
	2013	2012	**2013**	2012	**2013**	2012
Manufacturing and Service	433	466	836	948	1,269	1,414
Research and Development	85	84	81	86	166	170
Sales and Marketing	183	184	335	302	518	486
Administration	90	88	135	122	225	210
Total	**791**	822	**1,387**	1,458	**2,178**	2,280

Risk and Opportunities Report

During the first nine months of the 2013 fiscal year, there were no changes in the risks and opportunities as described in our Annual Report (Geschäftsbericht) for the year ended December 31, 2012. The Annual Report is available on our homepage at www.pfeiffer-vacuum.com.

Mayor Events after the Balance Sheet Date

After the end of the first three quarters of 2013, there has not been any significant change in the industry environment or in the Company's position.

Outlook

Despite the cautious dynamic in the markets the development of sales and incoming orders from the semiconductor industry shows the first signs of a recovery. This expected development with an improvement in the second half of 2013 had already been reflected in the sales forecast for fiscal year 2013 which was presented at this year's Annual Shareholders' Meeting. We therefore assume that sales will reach the lower end of the stated range between € 420 million and € 450 million.

Also with regard to the expected profitability development we are able to confirm our forecast of an expected EBIT margin of 12 % for fiscal year 2013.

Consolidated Interim Financial Statements

Consolidated Statements of Income (unaudited)

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012 adjusted [1]	**2013**	2012 adjusted [1]
	in K€	in K€	in K€	in K€
Net sales	99,876	112,037	299,829	354,339
Cost of sales	-61,661	-70,034	-189,316	-225,254
Gross profit	**38,215**	42,003	**110,513**	129,085
Selling and marketing expenses	-12,577	-12,218	-38,311	-38,173
General and administrative expenses	-6,708	-7,454	-22,225	-23,862
Research and development expenses	-5,461	-5,902	-16,638	-16,940
Other operating income	1,678	1,701	5,977	6,386
Other operating expenses	-244	-1,019	-3,105	-2,857
Operating profit	**14,903**	17,111	**36,211**	53,639
Financial expenses	-417	-399	-981	-1,990
Financial income	92	117	412	561
Earnings before taxes	**14,578**	16,829	**35,642**	52,210
Income taxes	-4,592	-5,334	-11,227	-16,433
Net income	**9,986**	11,495	**24,415**	35,777
Thereof attributable to:				
Pfeiffer Vacuum Technology AG shareholders	**9,986**	11,419	**24,415**	35,529
Non-controlling interests	-	76	-	248
Earnings per share (in €):				
Basic	1.01	1.16	2.47	3.60
Diluted	1.01	1.16	2.47	3.60

[1] Due to the application of IAS 19 “Employee Benefits“ some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

See accompanying notes to the interim financial statements.

Consolidated Statements of Comprehensive Income (unaudited)

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012 adjusted [1]	**2013**	2012 adjusted [1]
	in K€	in K€	in K€	in K€
Net income	**9,986**	11,495	**24,415**	35,777
Other comprehensive income				
Currency changes	-822	-1,168	-1,800	-652
Results from cash flow hedges	133	479	-24	636
Valuation of pension obligations	30	-3,975	57	-11,860
Income tax relating to other comprehensive income	-53	1,020	-12	3,256
Other comprehensive income, net of tax	**-712**	-3,644	**-1,779**	-8,620
Total comprehensive income for the period	**9,274**	7,851	**22,636**	27,157
Thereof attributable to:				
Pfeiffer Vacuum Technology AG shareholders	9,274	7,775	22,636	26,872
Non-controlling interests	-	76	-	285

[1] Due to the application of IAS 19 "Employee Benefits" some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

See accompanying notes to the interim financial statements.

Consolidated Balance Sheets (unaudited)

	September 30, 2013	December 31, 2012
		adjusted [1]
	in K€	in K€
Assets		
Intangible assets	82,882	87,505
Property, plant and equipment	88,787	93,465
Investment properties	550	568
Shares in associated companies	1,600	1,600
Deferred tax assets	16,158	16,294
Other non-current assets	5,766	4,520
Total non-current assets	**195,743**	203,952
Inventories	76,422	76,194
Trade accounts receivable	53,018	55,262
Other accounts receivable	16,686	11,945
Prepaid expenses	2,002	1,987
Cash and cash equivalents	80,300	102,006
Total current assets	**228,428**	247,394
Total assets	**424,171**	451,346
Shareholders' equity and liabilities		
Equity		
Share capital	25,261	25,261
Additional paid-in capital	96,245	96,245
Retained earnings	163,531	173,159
Other equity components	-19,461	-17,682
Equity of Pfeiffer Vacuum Technology AG shareholders	**265,576**	276,983
Non-controlling interests	-	-
Total equity	**265,576**	276,983
Financial liabilities	41,381	50,385
Provisions for pensions	30,378	28,916
Deferred tax liabilities	12,941	13,659
Total non-current liabilities	**84,700**	92,960
Trade accounts payable	21,331	24,928
Other accounts payable	18,601	20,049
Provisions	27,453	26,136
Income tax liabilities	1,737	3,731
Customer deposits	3,552	4,803
Financial liabilities	1,221	1,756
Total current liabilities	**73,895**	81,403
Total shareholders' equity and liabilities	**424,171**	451,346

[1] Due to the application of IAS 19 “Employee Benefits“ some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

See accompanying notes to the interim financial statements.

Consolidated Statements of Shareholders' Equity (unaudited)

	Equity of Pfeiffer Vacuum Technology AG Shareholders						
	Share Capital	Additional Paid-in Capital	Retained Earnings	Other Equity Components	Total	Non-controlling Interests	**Total Equity**
	in K€	in K€	in K€	in K€	in K€	in K€	in K€
Balance on Jan. 01, 2012	**25,261**	**98,147**	**158,418**	**-1,507**	**280,319**	**798**	**281,117**
Adjustment out of evaluation of pension liabilites	-	-	-	-5,863	-5,863	-	-5,863
Balance on Jan. 01, 2012 (adjusted) [1]	**25,261**	**98,147**	**158,418**	**-7,370**	**274,456**	**798**	**275,254**
Net income (adjusted) [1]	-	-	35,529	-	35,529	248	35,777
Other comprehensive income (adjusted) [1]	-	-	-	-8,657	-8,657	37	-8,620
Total comprehensive income (adjusted) [1]	**-**	**-**	**35,529**	**-8,657**	**26,872**	**285**	**27,157**
Dividend payment	-	-	-31,083	-	-31,083	-	-31,083
Balance on September 30, 2012 (adjusted) [1]	**25,261**	**98,147**	**162,864**	**-16,027**	**270,245**	**1,083**	**271,328**
Balance on Jan. 01, 2013 (adjusted) [1]	**25,261**	**96,245**	**173,159**	**-17,682**	**276,983**	**-**	**276,983**
Net income	-	-	24,415	-	24,415	-	24,415
Other comprehensive income	-	-	-	-1,779	-1,779	-	-1,779
Total comprehensive income	**-**	**-**	**24,415**	**-1,779**	**22,636**	**-**	**22,636**
Dividend payment	-	-	-34,043	-	-34,043	-	-34,043
Balance on September 30, 2013	**25,261**	**96,245**	**163,531**	**-19,461**	**265,576**	**-**	**265,576**

[1] Due to the application of IAS 19 “Employee Benefits“ some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

See accompanying notes to the interim financial statements.

Consolidated Statements of Cash Flows (unaudited)

	Nine months ended September 30,	
	2013	2012
		adjusted [1]
	in K€	in K€
Cash flow from operating activities:		
Net income	24,415	35,777
Depreciation/amortization	15,219	14,946
Other non-cash income/expenses	436	3,376
Effects of changes of assets and liabilities:		
Inventories	-1,812	-2,251
Receivables and other assets	-5,283	945
Provisions, including pensions, and income tax liabilities	1,113	-572
Payables, other liabilities	-6,120	-10,179
Net cash provided by operating activities	**27,968**	42,042
Cash flow from investing activities:		
Capital expenditures	-6,232	-6,427
Proceeds from disposals of fixed assets	223	255
Net cash used in investing activities	**-6,009**	-6,172
Cash flow from financing activities:		
Dividend payment	-34,043	-31,083
Redemptions of financial liabilities	-9,591	-21,620
Net cash used in financing activities	**-43,634**	-52,703
Effects of foreign exchange rate changes on cash and cash equivalents	-31	-2,238
Net decrease in cash and cash equivalents	**-21,706**	-19,071
Cash and cash equivalents at beginning of period	102,006	108,293
Cash and cash equivalents at end of period	**80,300**	89,222

[1] Due to the application of IAS 19 “Employee Benefits“ some of the numbers shown were adjusted retroactively and thus differ from the amounts shown in the Quarterly Financial Reports of 2012 or the 2012 Annual Report, respectively.

See accompanying notes to the interim financial statements.

Notes to the Consolidated Interim Financial Statements (unaudited)

1. The Company and Basis of Presentation

The parent company within the Pfeiffer Vacuum Group ("the Company" or "Pfeiffer Vacuum") is Pfeiffer Vacuum Technology AG, domiciled at Berliner Strasse 43, 35614 Asslar, Germany. Pfeiffer Vacuum Technology AG is a stock corporation organized under German law and recorded in the Register of Companies at the Local Court of Wetzlar under Number HRB 44. The Company is listed on the Deutsche Börse Stock Exchange in Frankfurt am Main, Germany, where it is included in the TecDAX index.

Pfeiffer Vacuum is one of the leading full-line vacuum technology manufacturers, offering custom solutions for a wide range of needs in connection with the generation, control and measurement of vacuum. The product portfolio includes turbopumps, a range of backing pumps, such as rotary vane, Roots and dry pumps, complete pumping stations, as well as custom vacuum systems, vacuum chambers and components.

Pfeiffer Vacuum markets and distributes its products through its own network of sales companies and independent marketing agents. Moreover, there are service support centers in all major industrial locations throughout the world. The Company's primary markets are located in Europe, the United States and Asia.

The Consolidated Financial Statements of Pfeiffer Vacuum Technology AG have been prepared in accordance with International Financial Reporting Standards (IFRS) and the interpretations of the International Financial Reporting Interpretations Committee (IFRIC) as applicable in the European Union (EU). This includes the International Accounting Standards (IAS), which continue to retain their validity, and the interpretations of the Standing Interpretations Committee (SIC).

Pfeiffer Vacuum prepares its Consolidated Interim Report ("Interim Report") in euros (€). Unless otherwise indicated, the presentation is in thousands of euros (K€).

2. Accounting and Valuation Methods

In preparing this interim report as of September 30, 2013, IAS 34 "Interim Financial Reporting" was applied. In doing so, the same accounting and valuation methods as in the Consolidated Financial Statements for the fiscal year ended December 31, 2012 were used. Please refer to the detailed description of these methods in the Notes to the Consolidated Financial Statements 2012, which are available in the internet at www.pfeiffer-vacuum.com.

In contrast revised IAS 19 "Employee Benefits" had to be applied beginning January 1, 2013. Amongst others, IAS 19 requires recognition of actuarial gains and losses directly in equity. The corridor approach as applied in Pfeiffer Vacuum Group until then was abolished. Comparative numbers for 2012 were adjusted retroactively. The equity burden as of January 1, 2012, was € 5.9 million and increased to € 16.4 million as of December 31, 2012.

3. Intangible Assets

Intangible assets consist of the following:

Intangible assets

	September 30, 2013	December 31, 2012
	in K€	in K€
Goodwill	53,404	53,404
Other intangible assets	27,436	32,324
Software	2,042	1,777
Total intangible assets	**82,882**	87,505

4. Property, Plant and Equipment

Property, plant and equipment comprise the following:

Property, Plant and Equipment

	September 30, 2013	December 31, 2012
	in K€	in K€
Land and buildings	44,111	46,541
Technical equipment and machinery	33,029	35,310
Other equipment, factory and office equipment	10,542	10,868
Construction in progress	1,105	746
Total property, plant and equipment	**88,787**	93,465

5. Inventories

Inventories consist of the following:

Inventories

	September 30, 2013	December 31, 2012
	in K€	in K€
Raw materials	29,446	27,060
Work-in-process	18,424	16,774
Finished products	28,552	32,360
Total inventories, net	**76,422**	76,194

6. Paid Dividends

At the Annual Shareholders' Meeting on May 28, 2013, the shareholders resolved a dividend of € 3.45 per share for the year 2012. Thus, a total of € 34,043,423.55 was paid out to the shareholders.

7. Pension Benefits

Pension expense for all plans included the following components:

Pension Expense for All Plans

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012	**2013**	2012
		adjusted [1]		adjusted [1]
	in K€	in K€	in K€	in K€
Service cost	783	725	2,356	2,162
Interest cost	226	236	680	689
Net pension cost	**1,009**	961	**3,036**	2,851

[1] Adjusted in connection with the retroactive application of IAS 19

8. Warranty

Warranty provisions developed as follows:

Warranty provisions

	Nine months ended September 30,	
	2013	2012
	in K€	in K€
Balance on January 1	15,081	16,075
Currency changes	-106	157
Additions	6,095	9,160
Utilization	-6,288	-9,385
Balance on September 30	**14,782**	16,007

9. Earnings per Share

The following table sets forth the computation of basic and diluted earnings per share:

Earnings * per Share

	Three months ended September 30,		Nine months ended September 30,	
	2013	2012 adjusted [1]	**2013**	2012 adjusted [1]
Net income (in K€)	9,986	11,419	24,415	35,529
Weighted average number of shares	9,867,659	9,867,659	9,867,659	9,867,659
Number of conversion rights	-	-	-	-
Adjusted weighted average number of shares	9,867,659	9,867,659	9,867,659	9,867,659
Earnings per share in € (basic/diluted)	**1.01**	1.16	**2.47**	3.60

* Attributable to Pfeiffer Vacuum Technology AG shareholders; previous year adjusted

10. Segment Reporting

Segment Reporting September 30, 2013

	Germany in K€	France in K€	Europe (excl. G and F) in K€	USA In K€	Republic of Korea in K€	Asia (excl. Korea) in K€	Other/ Consolidation in K€	Group in K€
Net sales	152,355	103,452	61,811	59,673	40,997	39,763	-158,222	299,829
Third party	80,595	26,073	59,976	58,829	37,940	36,416	-	299,829
Intercompany	71,760	77,379	1,835	844	3,057	3,347	158,222	-
Operating profit	27,742	1,330	3,429	1,568	-250	2,383	9	36,211
Financial results	-	-	-	-	-	-	-569	-569
Earnings before taxes	27,742	1,330	3,429	1,568	-250	2,383	-560	35,642
Segment assets	122,675	126,506	35,334	46,760	54,377	38,519	-	424,171
Thereof assets according to IFRS 8.33 (b) [1]	55,952	74,866	5,057	6,742	22,829	12,539	-	177,985
Segment liabilities	73,401	56,134	7,708	5,844	11,321	4,187	-	158,595
Capital expenditures:								
Property, plant & equipment [2]	3,338	1,396	403	98	26	291	-	5,552
Intangible assets	628	30	22	-	-	-	-	680
Depreciation [2]	3,270	4,421	351	173	903	816	-	9,934
Amortization	431	3,141	142	496	692	383	-	5,285

[1] Non-current assets other than financial instruments, deferred tax assets and prepaid pension cost

[2] Including investment properties

Segment Reporting September 30, 2012 (adjusted [1])

	Germany	France	Europe (excl. G and F)	USA	Republic of Korea	Asia (excl. Korea)	Other/ Consolidation	Group
	in K€	in K€	in K€	in K€	in K€	in K€	in K€	in K€
Net sales	158,607	128,516	53,876	73,658	74,362	37,871	-172,551	354,339
Third party	85,187	40,564	51,441	72,544	72,265	32,338	-	354,339
Intercompany	73,420	87,952	2,435	1,114	2,097	5,533	-172,551	-
Operating profit	29,231	12,220	3,095	3,152	2,340	2,137	1,464	53,639
Financial results	-	-	-	-	-	-	-1,429	-1,429
Earnings before taxes	29,231	12,220	3,095	3,152	2,340	2,137	35	52,210
Segment assets	126,151	146,332	31,613	52,872	53,531	42,269	-	452,768
Thereof assets according to IFRS 8.33 (b) [2]	55,848	81,633	5,262	7,275	23,643	14,089	-	187,750
Segment liabilities	87,528	62,166	7,039	5,845	14,067	4,795	-	181,440
Capital expenditures:								
Property, plant & equipment [3]	3,010	1,412	557	113	479	474	-	6,045
Intangible assets	322	17	14	29	-	-	-	382
Depreciation [3]	3,042	4,569	350	200	873	699	-	9,733
Amortization	355	3,154	141	488	692	383	-	5,213

[1] Adjusted in connection with the retroactive application of IAS 19

[2] Non-current assets other than financial instruments, deferred tax assets and prepaid pension cost

[3] Including investment properties

11. Income Tax Expense

Under German corporate tax law, taxes on income are composed of corporate taxes, trade taxes and an additional surtax.

The Company's effective tax rate was 31.5 % for the first nine months of 2013 and for the third quarter, respectively (2012: 31.5 %, and 31.7%, respectively).

12. Independent Auditor

At the Annual Shareholders' Meeting on May 28, 2013, the Supervisory Board proposed and the Shareholders elected Ernst & Young GmbH, Wirtschaftsprüfungsgesellschaft, Eschborn, Germany, as the independent auditor of both the accounts of the Company and the consolidated accounts for the fiscal year 2013.

13. Major Related Party Transactions

Besides the transactions between the subsidiaries that are eliminated during the consolidation process and regular compensation of Management and Supervisory Board members there were no related party transactions in the first three quarters of 2013.

Asslar, November 4, 2013

Pfeiffer Vacuum Technology AG

Management Board

Manfred Bender   Dr. Matthias Wiemer   Nathalie Benedikt

Additional Information

Contact

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