

Register of People with Significant Control (“PSC”) of Ambac Assurance UK Limited (“AUK”)

Pursuant to Part 21A of the Companies Act 2006 and The Register of People with Significant Control Regulations 2016

1. Name: **Ambac Financial Group, Inc. (“AFG”)**
2. Address of registered or principal office: **One World Trade Center, New York, NY 10007, USA**
3. Legal form and law by which it is governed: **a stock corporation formed under the laws of the State of Delaware**
4. If applicable, a register in which it appears and its registered number: **AFG is a Delaware corporation**
5. Date it became a registrable Relevant Legal Entity in relation to AUK: **6 April 2016**
6. Which of the conditions for being a PSC it meets: **AFG holds (indirectly) 100% of the shares and voting power in AUK**